



First Street Report

Lobbying The America Invents Act

Abstract: Congress recently passed the America Invents Act. This represents the first time in almost 60 years that Congress has implemented large scale changes to the patent system in the United States. Scholars and experts are already debating the effects this reform will have on the future of the American intellectual property and patent system. This report uses data from the First Street platform to examine the relationships between the legislation, people, and organizations in the patent reform and intellectual property debate.

Report Highlights

LEGISLATION

- **Intellectual Property Bills**
 - 743 bills have been introduced since the 108th Congress dealing with intellectual property (see Appendix F to view all intellectual property legislation).
 - 500 of these bills, 67 percent, have been lobbied.
- **112 H.R.1249: Leahy-Smith America Invents Act**
 - The Leahy-Smith America Invents Act, which passed the U.S. House of Representatives on June 23, 2011, is a bipartisan bicameral bill that enacts major changes to the United States patent system.
 - 318 organizations lobbied H.R.1249.
 - These organizations spent over \$400 million on all of their lobbying activity in 2011.
 - Over 1,000 lobbyists lobbied this bill in Congress.
 - 10 of the lobbyists are former Members of Congress.
 - Over 280 are former congressional staffers.
 - Over 50 worked for the federal government.
- **112 S.23: America Invents Act**
 - The America Invents Act, which passed the U.S. Senate on March 8, 2011, is a major patent reform bill that calls for an overhaul to the United States patent system.
 - 289 organizations lobbied S.23.
 - These organizations spent over \$390 million on all of their lobbying activity in 2011.
 - Over 1,000 lobbyists lobbied this bill in Congress.
 - 11 of the lobbyists are former Members of Congress.
 - Over 240 are former congressional staffers.
 - Over 50 worked for the federal government.

PEOPLE

- **Rep. Lamar Smith (R-TX 21)**
 - Rep. Smith is the sponsor of the Leahy-Smith America Invents Act (H.R.1249) and helped achieve passage of the bill in the U.S. House of Representatives.
 - **Legislation:** Rep. Smith has sponsored 26 bills related to intellectual property since the 108th Congress, 17 of which have been lobbied.
 - **Donations:** 141 PACs donated over \$340,000 to Rep. Smith's PAC, Lamar Smith for Texans in 2011.
 - **Revolving Door:** 6 current lobbyists formerly worked for Rep. Smith. - 3 of them lobbied H.R.1249.
- **Sen. Patrick Leahy (D-VT)**
 - Sen. Leahy is the sponsor of the America Invents Act (S.23) and has been the Senate flag bearer for major patent reform legislation.
 - **Legislation:** Sen. Leahy has sponsored 44 bills dealing with intellectual property since the 103rd Congress, 26 of which have been lobbied.
 - **Donations:** 25 PACs donated over \$20,000 to Rep. Leahy's Official PAC, Leahy for U.S. Senator Committee since 2011.
 - **Revolving Door:** 5 current lobbyists formerly worked for Sen. Leahy.

ORGANIZATIONS

- **United States Patent and Trademark Office**
 - The USPTO is a federal agency in the Department of Commerce with jurisdiction over the patent system.
 - 6 former high level USPTO officials have acted as registered lobbyists.
 - 88 organizations lobbied the USPTO in 2011, spending over \$100 million on all of their lobbying activity in 2011.
- **Coalition for 21st Century Patent Reform**
 - The Coalition for 21st Century Patent Reform advocates for patent reform on behalf of a broad group of corporations and organizations and has actively lobbied the America Invents Act.
 - **Legislation:** Hired lobbying firms to lobby on 13 different patent and intellectual property bills since 2007.
 - **Lobbying:** Hired 3 different lobbying firms since 2007 and paid them over \$6.5 million to lobby on their behalf.
 - **Lobbyists:** 13 lobbyists have lobbied on behalf of the Coalition for 21st Century Patent Reform in 2011.
 - 1 of these lobbyists is a former Member of Congress.
 - 7 of these lobbyists have previously worked for Congress.
- **Senate Committee on the Judiciary (Jurisdiction over Intellectual Property Issues)**
 - The Senate Committee on Judiciary has jurisdiction over intellectual property issues. The America Invents Act was referred to the Senate Committee on Judiciary for consideration when it was introduced in the U.S. Senate.
 - **Revolving Door:** Over 140 staffers that have worked for the Committee on the Judiciary have gone through the Revolving Door (see Appendix C to view all revolving door staffers).
 - **Legislation:** The Committee has considered 284 bills related to intellectual property since the 108th Congress, and 213 (75%) of those bills have been lobbied.

SUMMARY

The significance of any proposed changes to the patent system is clear from the vast amount of lobbying surrounding the America Invents Act. Any attempt to reform the patent system impacts a multitude of corporations and organizations in an array of industries. The organizations and lobbyists that have lobbied this legislation spent large amounts of money to make sure their voices were heard in the debate. The large revolving door presence surrounding patent reform demonstrates the tremendous value that insider relationships play in this debate. This report provides an in-depth look at the important relationships that surrounded the passage and implementation of patent reform. The rest of the report further details the instrumental people, organizations and legislation with interests in patent and intellectual property reform.

112 H.R.1249: Leahy-Smith America Invents Act

Congress: 112th Congress

Purpose: To amend title 35, United States Code, to provide for patent reform.

Committee Assignments

- Committee on Budget
- Committee on Judiciary

Sponsor: Rep. Lamar Smith (R-TX)

Co-Sponsor(s): 5 (0D, 5R, 0I)

1. Rep. John J. Duncan, Jr. (R-TN) joined 5/31/2011
2. Rep. Elton Gallegly (R-CA) joined 4/15/2011
3. Rep. Steve Chabot (R-OH) joined 4/13/2011
4. Rep. Bob Goodlatte (R-VA) joined 3/30/2011
5. Rep. Darrell Issa (R-CA) joined 3/30/2011

Top 5 Organizations that Lobbied the Bill by Amount They Spent Lobbying (by Quarter)

(See Appendix A to view all lobbying activity)

Lobbyist(s)	Organization	Filing Quarter	Amount
Dick Castner, Thomas J. Donohue, Ronald Eidshaug, Jason Goldman, John Marcus Gonzales, Moore Hallmark, Bradley Hayes, Daniel Hillenbrand, David Hirschmann, Alice Joe, R. Bruce Josten, William L. Kovacs, Douglas B. Loon, Rolf Th. Lundberg, Robert Purser, Thomas Quaadman, Jess Sharp, Cholly Smith, Sarach Suckow, Benjamin Mikel Taylor, Monique Thierry	Chamber of Commerce of the U.S.A.	2011 3Q	\$10,010,000
Dan Blair, Helen Devlin, Kevin Donnelly, Jerry Giovaniello, James Gregory, Samuel Whitfield, Ken Wingert, Melanie Wyne	National Association of Realtors	2011 2Q	\$7,120,000
Katreice Banks, Lyndon K. Boozer, Wendy L. Donoho, Gerald Francis Hogan, Barry Hutchison, Peter Jacoby, Theodore Reynolds Kingsley, Keith Krom, Marie Long, Marian E. McDowell, Timothy McGivern, Timothy P. McKone, Susan Santana, Kent M. Wells, Laura J. Zuckerman	AT&T Services, Inc. and its Affiliates	2011 1Q	\$6,840,000
William Behrens, Carl B. Horton	General Electric Company (including subsidiaries)	2011 2Q	\$6,770,000
Lindsey Dickinson, Peter Filon, Kathleen Moore Huzarsky, Samuel Lancaster, Melissa Maxfield, Bob Okun, Mr. Philip Tahtakran, Joseph Trahern	Comcast Corporation	2011 1Q	\$5,820,000

112 S.23: America Invents Act

Congress: 112th Congress

Purpose: A bill to amend title 35, United States Code, to provide for patent reform

Committee Assignments

- Committee on Judiciary

Sponsor: Sen. Patrick Leahy (D-VT)

Co-Sponsor(s): 14 (9D, 4R, 1I)

1. Sen. Charles E. Schumer (D-NY) joined 3/1/2011
2. Sen. Sheldon Whitehouse (D-RI) joined 3/1/2011
3. Sen. Tom Harkin (D-IA) joined 2/28/2011
4. Sen. Kirsten Gillibrand (D-NY) joined 2/17/2011
5. Sen. Herb Kohl (D-WI) joined 2/17/2011
6. Sen. Richard Blumenthal (D-CT) joined 2/15/2011
7. Sen. Al Franken (D-MN) joined 1/27/2011
8. Sen. Christopher Coons (D-DE) joined 1/25/2011
9. Sen. Chuck Grassley (R-IA) joined 1/25/2011
10. Sen. Orrin G. Hatch (R-UT) joined 1/25/2011
11. Sen. Amy Klobuchar (D-MN) joined 1/25/2011
12. Sen. Jon Kyl (R-AZ) joined 1/25/2011
13. Sen. Joe Lieberman (I-CT) joined 1/25/2011
14. Sen. Jeff Sessions (R-AL) joined 1/25/2011

Top 5 Organizations that Lobbied the Bill by Number of Lobbyists Who Lobbied the Bill (by Quarter)

(See Appendix B to view all lobbying activity)

Lobbyist(s)	Lobbying Firm	Client	Filing Quarter	Amount	Number of Lobbyists
Christopher Cole, Brian Cooney, Elizabeth Eurgubian, Camden R. Fine, Ann M. Grochala, John Hand, Walter Haynie, James Keller, James Kendrick, Jason Kratovil, David H. Lynch, Paul G. Merski, Renee Rappaport, Mark K. Scanlan, Aaron W. Stetter, Karen Thomas, Stephen Verdier, Viveca Y. Ware	Independent Community Bankers of America	Independent Community Bankers of America	2011 2Q	\$1,585,000	18
Kenneth E Bentsen, Jr., Lisa Bleier, Kevin Carroll, Michael Decker, Bradley Edgell, John Dameron Hallmark, Nancy Ellen McCarthy, Carter McDowell, Matthew McGinley, Steve Pastrick, T. Timothy Ryan, Jr., David Strongin, Cory Strupp, Joe Vaughan	Securities Industry and Financial Markets Association	Securities Industry and Financial Markets Association	2011 2Q	\$1,370,000	16
Katrice Banks, Lyndon K. Boozer, Wendy L. Donoho, Gerald Francis Hogan, Barry Hutchison, Peter Jacoby, Theodore Reynolds Kingsley, Keith Krom, Marie Long, Marian E. McDowell, Timothy McGivern, Timothy P. McKone, Susan Santana, Kent M. Wells, Laura J Zuckerman	AT&T Services, Inc. and its Affiliates	AT&T Services, Inc. and its Affiliates	2011 1Q	\$6,840,000	15

Kelly Bingle, Cynthia Brown, David Castagnetti, Colette Desmarais, Jamie Hantman, Jonthan Hoganson, Bruce P. Mehlman, Matt Pickering, Stacey Rampy, Dean Rosen, David R. Thomas, Allen L. Thompson, III, Alex Vogel, Brian Wiild	Mehlman Vogel Castagnetti, Inc.	Yahoo! Inc	2011 1Q	\$60,000	15
Kelly Bingle, Cynthia Brown, David Castagnetti, Colette Desmarais, Elise Finley Pickering, Jamie Hantman, Jonthan Hoganson, Bruce P. Mehlman, Stacey Rampy, Dean Rosen, David R. Thomas, Allen L. Thompson, III, Alex Vogel, Brian Wiild	Mehlman Vogel Castagnetti, Inc.	Hewlett-Packard Company	2011 1Q	\$60,000	15
Kelly Bingle, Cynthia Brown, David Castagnetti, Colette Desmarais, Elise Finley Pickering, Jamie Hantman, Jonthan Hoganson, Bruce P. Mehlman, Stacey Rampy, Dean Rosen, David R. Thomas, Allen L. Thompson, III, Alex Vogel, Brian Wiild	Mehlman Vogel Castagnetti, Inc.	Research in Motion	2011 1Q	\$50,000	15

Rep. Lamar Smith (R TX-21)

Biographical Snapshot

- **Education Program:** Yale University (CT), 1969, B.A. in American studies; Southern Methodist University School of Law (TX), 1975, J.D.
- **Profession:** Management intern, U.S. Small Business Administration, 1969-70; business and financial writer, Christian Science Monitor, 1970-72. Admitted to TX Bar, 1975. Practicing attorney in San Antonio, TX, Maebius and Duncan, later Partner; Rancher, Lamar Seeligson Ranch
- **Awards:** Cyber Champion Award, Business Software Alliance, 2002; Hero of the Taxpayer, Americans for Tax Reform, 2002; Sunshine Award, Sunshine in Government Initiative, 2007
- **Family:** Married to Elizabeth Smith; two children
- **Religion:** Christian Scientist

Committee and Subcommittee Assignments

- Committee on Judiciary
 - Subcommittee on Courts, Commercial and Administrative Law
 - Subcommittee on Crime, Terrorism and Homeland Security
 - Subcommittee on Immigration Policy and Enforcement
 - Subcommittee on Intellectual Property, Competition, and the Internet
 - Subcommittee on the Constitution
- Committee on Science, Space, and Technology
 - Subcommittee on Space and Aeronautics
 - Subcommittee on Technology and Innovation
- Committee on Homeland Security

Other Assignments and Memberships

- House Republican High Technology Working Group
- House Republican Steering Committee

Excerpts from First Street Member Analysis

Smith is a steadfast conservative who was among the first House Republicans to join the Tea Party Caucus in 2010, and he is not above looking to score political points.

At the same time, Smith also is known for his even-keeled personality and ability to cooperate with Democrats on issues of mutual interest. As top Republican on the Committee on the Judiciary, he has joined forces on such issues as cyber security and intellectual property enforcement. He also worked for years with liberal Democrat Howard Berman on patent legislation and got a bill through the House in 2007 that marked the most significant update to those laws in more than half a century.

On the Committee on Science and Technology, he has looked after his district's tech companies. He cosponsored legislation in 2007 offering tax credits for plug-in hybrid car buyers and introduced a bill that year to provide \$250 million in federal grants for the technology. He has supported a permanent ban on state and local Internet taxation.

Smith draws political contributions from the finance, oil and gas, and health care industries as well as Republican lawyers, law firms and retirees.

Snapshot of Senior Congressional Office Staffer's History:

JENNIFER YOUNG BROWN

- Chief of Staff—Rep. Lamar Smith (R-TX) 2007–Present (5 years)
- Administrative Assistant; Scheduler—Rep. Lamar Smith (R-TX) 2001–2006 (6 years)
- Administrative Assistant—Rep. Lamar Smith (R-TX) 2000–2000 (1 year)
- Office Manager; Administrative Assistant—Rep. Lamar Smith (R-TX) 1999–1999 (1 year)
- Office Manager; Executive Assistant—Rep. Lamar Smith (R-TX) 1996–1998 (2 years)
- Executive Assistant—Rep. Lamar Smith (R-TX) 1995–1995 (1 year)

Top 5 PAC Donors in 2011P (See Appendix C to view all PAC donors)

Donor	Amount
KPMG Partners/Principals & Employees PAC	\$10,000
Valero Energy Corporation Political Action Committee	\$10,000
American Institute of Certified Public Accountants Political Action Committee	\$8,000
Wine and Spirits Wholesalers of America, Inc. Political Action Committee	\$8,000
Bnsf Railway Company Railpac (Bnsf Railpac)	\$7,500

Snapshot of a Revolving Door Lobbyist Who has Lobbied HR 1249 and Worked for Rep. Smith

JOSEPH GIBSON: Registered Lobbyist—The Gibson Group

Previous Employment

- Minority Chief Counsel—Committee on Judiciary 2007–2007 (1 year)
- Chief of Staff—Rep. Lamar Smith (R-TX) 2005–2006 (2 years)
- Chief Legislative Counsel; Parliamentarian—Committee on Judiciary 2003–2004 (2 years)
- Deputy Assistant Attorney General—Office of Legislative Affairs (Department of Justice) 2002–2003 (2 years)
- Chief Counsel—Committee on Judiciary 1999–2001 (3 years)
- Chief Antitrust Counsel—Committee on Judiciary 1998–1998 (1 year)
- Counsel—Committee on Judiciary—1995–1997 (3 years)

PAC Donations: \$2,500 to Texans for Lamar Smith in 2011

Lobbied HR 1249 and S23 on Behalf of the Following Clients

- America’s Specialty Medicine Companies:
 - Celgene Corp
 - Cephalon Inc.
 - Cubist Pharmaceuticals, Inc.
 - Endo Pharmaceuticals
 - Millenium Pharmaceuticals, Inc.
 - Purdue Pharma L.P.
 - Sepracor Inc.
- Microsoft Corporation

Snapshot of Recent Intellectual Property Legislation Sponsored by Rep. Smith

- 112 H.R.3261: Stop Online Piracy Act
- 112 H.R.1249: Leahy-Smith America Invents Act
- 110 H.R.3156: Violent Crime Control Act of 2007
- 109 H.R.683: Trademark Dilution Revision Act of 2006
- 109 H.R.6052: Copyright Modernization Act of 2006
- 109 H.R.5593: Royalty Distribution Clarification Act of 2006
- 109 H.R.5553: Section 115 Reform Act of 2006
- 109 H.R.5439: Orphan Works Act of 2006
- 109 H.R.4742: To amend title 35, United States Code, to allow the Director of the Patent and Trademark Office to waive statutory provisions governing patents and trademarks in certain emergencies.
- 109 H.R.357: Family Entertainment and Copyright Act of 2005
- 109 H.R.2955: Intellectual Property Jurisdiction Clarification Act of 2006
- 109 H.R.2795: Patent Act of 2005
- 109 H.R.1037: To make technical corrections to title 17, United States Code.
- 109 H.R.1036: Copyright Royalty Judges Program Technical Corrections Act

Sen. Patrick Leahy (D VT)

Biographical Snapshot

- **Education Program:** St. Michael's College, Colchester, VT, 1961, B.A. in political science; Georgetown University Law Center, Washington, DC, 1964, J.D.
- **Profession:** Admitted to VT Bar and VT Supreme Court Bar, 1964; Second Circuit Court of Appeals in New York, NY, 1966; Federal District Court of VT, 1965; U.S. Supreme Court Bar, 1968; DC Bar, 1979. Practicing attorney, 1964–74
- **Other Government Service:** State's Attorney, Chittenden County, VT, 1966–74; U.S. Rep. to United Nations General Assembly, 59th session, 2004
- **Awards:** Champion for Real and Lasting Change Award, Save the Children, 2005; Congressional Award, Natl. Breast Cancer Coalition, 1993–97; Digital Patriot Award, The Consumer Electronics Association, 2008; Excellence in Public Service Award, American Academy of Pediatrics, 1994; First Amendment Award, Society of Professional Journalists; Freedom of Speech Award, The Media Institute, 1996; James Madison Award, American Library Association, 1989; John Peter and Anna Catherine Zenger Award, University of Arizona Dept. of Journalism, 1998; MLA Award for Distinguished Public Service, Medical Library Association, 2003; National Congressional Award, Natl. Recreation and Park Association, 1999
- **Memberships:** Board of Visitors, U.S. Military Academy, West Point, NY, Gallaudet University (DC) and the National College for the Deaf (DC); National District Attorneys Association, 1971–74, v.p.

Committee and Subcommittee Assignments

- Committee on Agriculture, Nutrition, and Forestry
 - Subcommittee on Conservation, Forestry and Natural Resources
 - Subcommittee on Livestock, Dairy, Poultry, Marketing and Agriculture Security
 - Subcommittee on Nutrition, Specialty Crops, Food and Agricultural Research
- Committee on Appropriations
 - Subcommittee on Commerce, Justice, Science, and Related Agencies
 - Subcommittee on Defense
 - Subcommittee on Homeland Security
 - Subcommittee on Interior, Environment, and Related Agencies
 - Subcommittee on State, Foreign Operations, and Related Programs
 - Subcommittee on Transportation, Housing and Urban Development, and Related Agencies
- Committee on Rules and Administration
- Committee on Judiciary
 - Subcommittee on Administrative Oversight and the Courts
 - Subcommittee on Immigration, Refugees and Border Security
 - Subcommittee on The Constitution, Civil Rights and Human Rights
- Joint Committee on the Library of Congress

Other Assignments and Memberships

- Congressional Internet Caucus

Excerpts from First Street Member Analysis

Sen. Patrick Leahy, D-VT., draws most of his attention from his work on the Senate Committee on the Judiciary, where he has cleared the way in high-profile battles for Democratic judicial nominees while leading the charge against Republican ones.

Now in his early 70s, Leahy has been a senator for more than half his life. Though fully capable of expressing indignation at Republican ideas he dislikes, he also is practiced in defusing tense situations with a smile and a quip.

Leahy worked with Judiciary's Republicans on other issues, including an overhaul of U.S. patent laws. In 2010, he came up with a patent proposal that established new parameters for damage awards in patent infringement lawsuits, as well as a new system for reviewing the validity of patents after they have been granted.

Like many members of his party, Leahy relies overwhelmingly on political contributions from Democratic-leading lawyers and law firms.

Snapshot of Senior Congressional Office Staffer's History:

EDWARD PAGANO

- Chief of Staff—Sen. Patrick Leahy (D-VT) 2005–Present (7 years)
- Minority (Democratic) Senior Counsel—Committee on the Judiciary 2003–2004 (2 years)
- Senior Counsel (Judiciary Committee, Budget, Banking, Taxes, Trade, Commerce, Business, Finance, Labor)—Sen. Patrick Leahy (D-VT) 2002–2004 (3 years)
- Senior Counsel—Committee on the Judiciary 2001–2002 (2 years)
- Legislative Assistant (Budget, Banking, Taxes, Trade, Commerce, Business, Finance, Labor)—Sen. Patrick Leahy (D-VT) 1997–2001 (5 years)
- Minority Senior Counsel—Committee on the Judiciary 1997–2000 (4 years)
- Legislative Assistant/Attorney, Budget, Banking, Taxes, Trade, Commerce, Business, Finance, Labor)—Sen. Patrick Leahy (D-VT) 1995–1996 (2 years)
- Legislative Assistant/Attorney (Budget, Banking, Taxes, Trade, Commerce, Business, Finance, Energy)—Sen. Patrick Leahy (D-VT) 1994–1994 (1 year)

Education: University of Vermont, Center, University of Vermont Catamounts basketball team, 1981–85; Fordham University School of Law (NY), 1990, J.D.

Other Career Record: Attorney, Hunton and Williams; 1992, Volunteer, Clinton-Gore Campaign, Burlington, VT; 1998, Manager, Reelection campaign of Sen. Patrick Leahy (D VT)

Top 5 PAC Donors in 2011 (See Appendix D to view all PAC donors)

Donor	Amount
National Fraternal Order of Police PAC	\$5,000
Credit Suisse Securities (USA) LLC Political Action Committee	\$2,000
Life Technologies Corporation Employees Political Action Committee	\$2,000
American Intellectual Property Law Association Intellectual Property PAC	\$1,000
International Trademark Association Political Action Committee (INTA PAC)	\$1,000

Snapshot of a Revolving Door Lobbyist Who has Worked for Sen. Leahy

LUKE S. ALBEE

Previous Employment

- Chief of Staff—Sen. Mark R. Warner (D-VA) 2009–Present (3 years)
- Registered Lobbyist—Ricchetti Incorporated 2004–2008 (5 years)
- Chief of Staff—Sen. Patrick Leahy (D-VT) 1994–2004 (11 years)

Snapshot of Lobbying Clients from 2008

- Intellectual Ventures
- AT&T Services, Inc. and Its Affiliates
- General Motors Company
- Siemens Corporation
- Novartis Corporation (Formally Novartis)
- American Society of Composers, Authors, and Publishers

Snapshot of Recent Intellectual Property Legislation Sponsored by Sen. Leahy

- 112 S.23: America Invents Act
- 111 S.3804: Combating Online Infringement and Counterfeits Act
- 111 S.515: Patent Reform Act of 2009
- 112 S.968: Preventing Real Online Threats to Economic Creativity and Theft of Intellectual Property Act of 2011
- 111 S.1358: A bill to authorize the Director of the United States Patent and Trademark Office to use funds made available under the Trademark Act of 1946 for patent operations in order to avoid furloughs and reductions-in-force.
- 111 S.2968: Trademark Technical and Conforming Amendment Act of 2010

United States Patent and Trademark Office (USPTO)

Description: The USPTO is responsible for granting patents and registering trademarks in the United States.

Head of USPTO: DAVID J. KAPPOS

- **Position:** Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office, Department of Commerce, Madison West Building, 600 Dulany Street, Alexandria, VA 22313. Nominated June 18, 2009 by President Barack Obama (D). Confirmed by the Senate August 7, 2009. Born 1961.
- **Education:** University of California-Davis, 1983, B.S. in electrical and computer engineering; University of California Berkeley, 1990, J.D.
- **Career Record:** Development Engineer; Intellectual Property Law Attorney, Storage Division and Litigation Group; Intellectual Property Law Counsel, Software Group; Assistant General Counsel, IBM Asia / Pacific; Corporate Counsel; Vice President and Assistant General Counsel, Intellectual Property Law, all with IBM
- **Memberships:** Past Vice President, Intellectual Property Owners Association; former member Board of Directors, American Intellectual Property Law Association, Intellectual Property Owners Association, and International Intellectual Property Society

Snapshot of USPTO Staff

- Deputy Under Secretary for Intellectual Property and Deputy Director of the United States Patent and Trademark Office: Teresa S. Rea
- Chief of Staff: Andrew Hirshfeld
- Deputy Chief of Staff: Azam B. Khan
- Patent Reform Coordinator: Janet Gongola
- Expert Legal Advisor: Sue Purvis

Former Under Secretaries of Commerce for Intellectual Property and Directors of the USPTO:

- John J. Doll (2009–2010)
- Jonathan W Dudas (2004–2008)
- James Rogan (2001–2003)
- Nicholas P. Godici (2001–2001)

Snapshot of USPTO Revolving Door Lobbyists

PETER C. PAPPAS

- Chief Communications Officer and Senior Advisor to the Under Secretary—United States Patent and Trademark Office) 2010–Present (2 years)
- Registered Lobbyist—Pappas Telecasting Companies 2004–2007 (4 years)

MICHAEL K. KIRK

- Registered Lobbyist—American Intellectual Property Law Association (AIPLA) 2004–2008 (5 years)
- Deputy Assistant Secretary and Deputy Commissioner—United States Patent and Trademark Office 1994–1995 (2 years)

Top 5 Organizations that Lobbied the USPTO by Amount Spent Lobbying (by Quarter)

Organization	Filing Quarter	Amount
Chamber of Commerce of the U.S.A.	2011 1Q	10,890,000
General Electric Company (including subsidiaries)	2011 1Q	\$8,590,000
Pharmaceutical Research and Manufacturers of America	2011 2Q	\$4,690,000
Pfizer, Inc	2011 1Q	\$3,790,000
National Association of Broadcasters	2011 3Q	\$3,240,000

Coalition For 21st Century Patent Reform

Description: The Coalition for 21st Century Patent Reform is a broad and diverse group of nearly 50 global corporations. Representing 18 different industry sectors including manufacturing, information technology, consumer products, energy, financial services, medical device, pharmaceutical, and bio-technology, the coalition advocates for patent reforms that will continue to foster innovation and enhance American competitiveness.

Members of the Coalition for 21st Century Patent Reform Include

3M	Cummins Inc.	Merck
Abbott	Dow Chemical Company	Millennium Pharmaceuticals, Inc.
Air Liquide	DuPont	Milliken & Company
Air Products	Eastman Chemical Company	Motorola
American Intellectual Property Law Association (AIPLA)	Electronics for Imaging	Northrop Grumman Corporation
AstraZeneca	Eli Lilly and Company	Novartis
BP	Exxon Mobil Corporation	Patent Café.com, Inc.
Baxter Healthcare Corporation	General Electric	PepsiCo, Inc.
Beckman Coulter	General Mills	Pfizer
Boston Scientific	Genzyme	Procter & Gamble
Bridgestone Americas Holding, Inc.	GlaxoSmithKline	SanDisk Corporation
Bristol-Myers Squibb	Henkel Corporation	Texas Instruments
Cargill, Inc.	Hoffman-La Roche	USG Corporation
Caterpillar	Illinois Tool Works Inc.	United Technologies
CheckFree	Johnson & Johnson	Weyerhaeuser
	Medtronic	Zimmer

Legislation Lobbied on Behalf of the Coalition for 21st Century Patent Reform

1. 110 H.R.1908: Patent Reform Act of 2007
2. 110 S.1145: Patent Reform Act of 2007
3. 111 H.R.1: American Recovery and Reinvestment Act of 2009
4. 111 H.R.1260: Patent Reform Act of 2009
5. 111 H.R.4954: To amend title 35, United States Code, to provide recourse under the patent law for persons who suffer competitive injury as a result of false markings.
6. 111 H.R.5322: Patent and Trademark Office Funding Stabilization Act of 2010
7. 111 H.R.6352: Patent Lawsuit Reform Act of 2010
8. 111 S.336: American Recovery and Reinvestment Act of 2009
9. 111 S.515: Patent Reform Act of 2009
10. 111 S.610: Patent Reform Act of 2009
11. 112 H.R.1056: Patent Continuing Disclosure Act
12. 112 H.R.1249: Leahy-Smith America Invents Act
13. 112 S.23: America Invents Act

Lobbying Firms Hired in 2011 (See Appendix G to view all lobbying firms hired)

Lobbying Firm	Filing Quarter	Amount
Akin Gump Strauss Hauer & Feld LLP	2011 3Q	\$270,000
Palmetto Group	2011 3Q	\$35,000
Mr. Gary Griswold	2011 3Q	\$30,000
Akin Gump Strauss Hauer & Feld LLP	2011 2Q	\$520,000
Palmetto Group	2011 2Q	\$60,000
Mr. Gary Griswold	2011 2Q	\$40,000
Akin Gump Strauss Hauer & Feld LLP	2011 1Q	\$610,000
Mr. Gary Griswold	2011 1Q	\$40,000
Palmetto Group	2011 1Q	\$30,000

Snapshot of Revolving Door Lobbyists who Have Lobbied on Behalf of the Coalition for 21st Century Patent Reform

ARSHI SIDDIQUI

- Registered Lobbyist—Akin Gump Strauss Hauer & Feld LLP 2009–Present (3 years)
- Senior Policy Advisor—Speaker of the House 2007–2010 (3 years)
- Policy Adviser—Minority (Democratic) Leader of the House 2004–2006 (2 years)
- Legislative Assistant (Tax, Social Security, Oversight, Human Resources, Welfare)—Rep. Xavier Becerra (D-CA) 1998–2000 (3 years)
- Legislative Assistant (Foreign Affairs)—Eva Clayton 1998–1998 (1 year)

DAVID RUDD

- Registered Lobbyist—Palmetto Group 2004–Present (8 years)
- Executive Director—Democratic Senatorial Campaign Committee 2004–2004 (1 year)

Report Appendices (Contain Full Data Sets)

- Appendix A—Total Lobbying Activity on 112 H.R.1249: Leahy-Smith America Invents Act
- Appendix B—Total Lobbying Activity on 112 S.23: America Invents Act
- Appendix C—Senate Committee on Judiciary Revolving Door
- Appendix D—Political Action Committee Donations to Rep. Smith in 2011
- Appendix E—Political Action Committee Donations to Sen. Leahy in 2011
- Appendix F—103rd–112th Intellectual Property Related Legislation
- Appendix G—Lobbying Firms Hired by the Coalition For 21st Century Patent Reform